MEMORANDUM

TO: Law School Students
FROM: Stern Department of Finance
DATE: June 2003

SUBJECT: Prerequisites for Taking Stern Finance Courses

All Stern finance courses have a "core course" in finance as a prerequisite. This course is B01.2311 Foundations of Finance. Information about its content, as well as other finance course information and current schedules can be found on the Department of Finance website at http://w4.stern.nyu.edu/finance under the "Academic Programs" tab.

Can You Get a Waiver for this Core Course?

Stern is strict about prerequisites. We do not exempt non-Stern students from prerequisites our own students are required to take. In general, any of the following are sufficient to receive a waiver for the B01.2311 course.

1. You have an M.B.A. degree and have taken finance courses as part of that degree.

2. You have an undergraduate degree in business within the past ten years and have taken two finance courses and an accounting course as part of that degree. Or you have some other degree where you took at least two finance courses as part of a minor.

3. You took the NYU Law School Corporate Finance course. This is a special law school course designed with input from the finance faculty. It spends more than the usual amount of time on key finance concepts. Most other law school corporate finance courses do not satisfy this requirement.

4. You are in the special LLM exchange program and took the Stern summer course on finance topics that is part of this program.

If you think you might satisfy one of these conditions please contact by email the Stern Department of Finance advisor for Law School students. Indicate the basis for your request, and if it seems sufficient the advisor will email back to you waiver approval. Advisors are also available to meet with you in person to discuss your finance background one week before each term starts or during the regular academic year. The current advisors are:

Prof. Yakov Amihud 212-998-0720 yamihud@stern.nyu.edu (primary advisor)
Prof. Jarl Kallberg 212-998-0339 jkallber@stern.nyu.edu (backup)
Prof. Richard Levich 212-998-0422 rlevich@stern.nyu.edu (2nd backup)