

APPLICATION DEADLINE

The application deadline is **January 15th**. Your application will not be evaluated until it is complete. Only complete applications received in our office or postmarked by the deadline will be reviewed. The Admissions Committee reserves the right to render a final decision on incomplete applications.

SELF-MANAGED APPLICATION

New York University Stern School of Business uses a self-managed application. You are required to complete the information requested on the application forms, obtain the supporting documents and submit all materials in one large mailing envelope. We cannot accept faxed credentials. You must designate an area of study when applying for admission. We will acknowledge receipt of your application by e-mail.

APPLICATION MATERIALS

Applicants must submit the following:

- Personal data forms A and B.
- Current résumé.
- Official transcripts from each school attended (see page 2 for more information).
- Two recommendation letters from persons acquainted with your research potential. You are strongly urged to use two **academic** references. If you wish, you may submit more than two references, with the additional being non-academic. Please follow the instructions on the evaluation forms.
- Official GMAT or GRE score that is less than five years old.
- Official TOEFL score that is less than two years old, if applicable.
- Completed control card. The control card can be found in the “additional application materials” envelope.
- Bursar’s form and a check, money order or credit card authorization for the \$75 application fee. The bursar’s form can be found in the “additional application materials” envelope.
- Two required personal essays. See page 19 for detailed instructions.

APPLICATION ON THE INTERNET

Applicants may download the application materials from our website at www.stern.nyu.edu/phd/, print and complete the forms and submit them by mail. Please follow the instructions on the application.

TIMING OF ADMISSION DECISIONS

The PhD Program admits students only for the upcoming fall term. Offers of admission are usually made between late February and early April. If you do not send us a complete application by the deadline, your file will not be reviewed. In this case, you may choose to reactivate your application materials for admission the following fall.

GRADUATE MANAGEMENT ADMISSION TEST (GMAT)

All applicants are required to submit an official GMAT score. Applicants may submit the results of the Graduate Record Examination (GRE) instead, though the GMAT is preferred. Applicants are urged to take the GMAT at their earliest possible convenience. Scores older than five years cannot be accepted. You must request that your GMAT or GRE score be sent to Stern directly from ETS. Your score report must be received in our office by the January 15th deadline for your application to be considered complete. The ETS reporting codes for our program are 2586 for the GMAT and 2582 for the GRE. Please also include a photocopy of your test score (including TOEFL score, if applicable) in your application packet.

TEST OF ENGLISH AS A FOREIGN LANGUAGE (TOEFL)

All international applicants whose native language is not English must submit an official TOEFL score. The Test of Spoken English (TSE) is also strongly recommended. Applicants who have received a degree from a university where English is the language of instruction are not required to submit a TOEFL score. The ETS reporting code for the TOEFL is 2586.

FINANCIAL AID

Applicants need not apply separately for financial aid. All admitted students in good standing who are not receiving aid elsewhere can expect to receive financial aid.

ADMISSION DEPOSIT

Deposit deadlines are specified in each letter of admission. It is strongly recommended that admitted applicants submit their deposits as early as possible to reserve places in the entering class. Those who do not notify us of their intention to enroll by submitting deposits will not be permitted to register.

APPLICATION INSTRUCTIONS

Please print neatly in ink or type all information and retain copies of all the materials you submit. Registrars and evaluators should not send transcripts and letters directly to us. Instead, they should return these forms to you in sealed and signed envelopes. You should then include them in your application package. Those students who have downloaded the application from our website should provide self-addressed stamped envelopes to their registrars and evaluators. Those receiving applications by mail can use the envelopes provided.

When you have gathered all information and supporting documents, including transcripts and evaluations in sealed envelopes, send the materials to the Doctoral Program in the large mailing envelope provided. Applicants using downloaded forms should provide their own envelopes. Please do not send any parts of your application separately. All completed forms should be sent in one package. Official test scores are the only items we should receive separately from your application package.

PERSONAL DATA FORM INSTRUCTIONS

Please read the following instructions before completing Personal Data Form A and B on pages 15 and 17.

SOCIAL SECURITY NUMBER

The Social Security number requested on the application forms becomes your identification number for student records. If you do not have a Social Security number, leave this item blank and NYU will assign you an identification number.

AREAS OF STUDY

Stern PhD students apply to and focus their studies in one of the following areas: accounting; economics; finance; information systems; marketing; operations management; organizational behavior/organization theory; statistics; or strategy.

INTERNATIONAL APPLICANTS

Please list your country of citizenship. If you have dual citizenship, please list the country you consider to be primary.

MARITAL STATUS and ETHNIC BACKGROUND

This information is voluntary. You are not required to complete either of these questions.

UNDERGRADUATE AND GRADUATE SCHOOLS

For each school, include the full name and location of the school, dates of attendance, percentage of work completed and any degree received. Please list additional undergraduate/graduate work on a separate sheet of paper and staple to Personal Data Form A.

PREREQUISITES

Please indicate which courses on your transcript fulfill the calculus, matrix algebra/linear algebra, economics, psychology/sociology and financial accounting requirements. The PhD Program assumes that a student has taken a course in differential and integral calculus, matrix algebra and economics (covering both microeconomics and macroeconomics). If you do not meet these prerequisites and you are admitted to the PhD Program, you must make up any deficiency before you begin your doctoral studies.

TRANSCRIPTS

Please note that transcripts from non-US institutions must be accompanied by a photocopy of the degree conferral and/or diploma. If your institution does not issue transcripts and you possess an original, you may submit a notarized copy of it in a sealed envelope. The seal of the envelope must be signed by the notary to reflect its unaltered, true contents. All transcripts must be translated into English. You are required to submit

both the original official transcript and its English translation together in one sealed envelope. If the institution you attended does not provide an English translation, you must obtain a notarized translation and submit the translation and the original transcript in one sealed envelope. The seal of the envelope must be signed by the notary to reflect its unaltered, true contents.

Doctoral Program
Stern School of Business
New York University
44 West Fourth Street, Suite 6-140
New York, NY 10012-1118

Information must be printed neatly in ink or typed.

Name of Applicant Last First Middle

Current Address

Social Security Number (US citizens and current residents)

Name of College or University Attended

School Attended within University

Dates of Enrollment (from/to) Degree, Major and Year

If you have attended under a different name from above, please give other name.

Signature of Applicant Date

TO THE APPLICANT

Please complete the information above and send this form and the transcript envelope provided to the registrar of the college that awarded or will award your undergraduate/graduate degree. Applicants using downloaded forms should provide the registrar with a self-addressed, stamped envelope and ask the registrar to sign across the seal before returning the transcript to you. If you attended more than three undergraduate or graduate schools, please photocopy this form, complete the above data and send copies to all schools attended. Be sure to enclose a self-addressed, stamped envelope and ask the registrar to sign across the seal before returning the transcript to you. When you receive the form and transcript in the sealed envelope, include it with the materials you submit with your application. **Do not open the envelope when it is returned to you by the registrar.**

TO THE REGISTRAR

The above-named person is applying for admission to the doctoral program at New York University Stern School of Business. The PhD Admissions Committee appreciates your cooperation in the self-managed application process in which the applicant is responsible for submitting official copies of transcripts. Please attach an official copy of his/her transcript to this form and complete the remainder of the form as indicated below.

We ask that you seal the envelope and sign across the envelope seal to ensure confidentiality. Please return the sealed envelope to the applicant who will submit it unopened along with his/her application. Thank you for your assistance.

Applicant's Cumulative Grade Point Average Applicant's Rank in Class Out of

Please describe your grading system (for example, A=4, B=3, etc.) or attach such descriptive material, if available.

Registrar's Signature Date

Official Seal New York University is an affirmative action/equal opportunity institution.

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Name of Applicant Last First Middle

Current Address

Social Security Number (US citizens and current residents)

Name of Evaluator

TO THE APPLICANT

Please complete the information above and send this form and the evaluation envelope provided to the above-named evaluator. Neatly print or type your name and return address on the envelope and, when it has been returned to you, include the evaluation with your application materials. **Do not open the envelope when it is returned to you by the evaluator.** Applicants using downloaded forms should provide the evaluator with a self-addressed, stamped envelope and ask the evaluator to sign across the seal before returning the envelope to you. In order to allow the evaluator to provide an objective and candid impression, the applicant is encouraged to sign the following statement. Please be assured, however, that the signing of the statement is optional. Under law, refusal to sign the statement cannot be used negatively in the admissions process.

Under the Family Educational Rights and Privacy Act of 1974, I hereby waive my right of access to this letter of evaluation.

Signature of Applicant Date

If you believe that additional evaluations beyond the two required will provide the Admissions Committee with information they should have to evaluate your application, you may photocopy this form (front and back), provide the evaluator with a self-addressed stamped envelope and ask the evaluator to sign across the seal before returning the envelope to you. The PhD Admissions Committee will review your application when two evaluations are received unless you notify us in writing to wait for supplemental forms.

TO THE EVALUATOR

The above-named person is applying for admission to the doctoral program at New York University Stern School of Business. The PhD Admissions Committee appreciates your cooperation in the self-managed application process in which the applicant is responsible for submitting official letters of evaluation. We find that candid comments from those who can evaluate the applicant's performance and motivation through direct experience are extremely valuable, and we appreciate the time you are taking to provide this information.

Please complete the reverse side of this form and enclose it (and any additional sheets) in the envelope provided. **We ask that you seal the envelope and sign across the envelope seal to ensure confidentiality.** Return the sealed envelope to the applicant who will submit it unopened along with his/her application.

How long have you known the applicant?

In what capacity?

What do you consider to be the applicant's outstanding talents?

In which areas could the applicant exhibit growth or improvement?

If you have a comparable doctoral program, including liberal arts programs, in what decile would this student rank in your school or department? (e.g., Top 10%, Top 40%) Please name the department.

If the student's native language is not English, how would you rate the student's fluency?

Would the student be capable of teaching an MBA course in clear and fluent English?

Please comment on your impression of the applicant's capacity for graduate work and his or her potential for a successful career in research. Please use additional sheets as necessary.

		EXCEPTIONAL Top 2%	OUTSTANDING Top 10%	GOOD Top 1/3	AVERAGE Middle 1/3	POOR Bottom 1/3	UNABLE TO JUDGE
Please use this scale to rate the applicant in relation to his or her peers.	Analytical ability	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Oral communication skills	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Written communication skills	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Please indicate the reference group used to determine these ratings.	Initiative	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Intelligence	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Interpersonal relations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Leadership	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Maturity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Organizational ability	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- I, the evaluator:
- Strongly recommend
 - Recommend
 - Recommend with some reservations
 - Do not recommend that this applicant be admitted to the Stern School of Business.

Name _____ Position/Title _____

Organization _____

Address _____

Telephone Number _____

E-mail Address _____

Signature of Evaluator _____ Date _____

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Name of Applicant Last First Middle

Current Address

Social Security Number (US citizens and current residents)

Name of Evaluator

TO THE APPLICANT

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Signature of Applicant Date

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How long have you known the applicant?

In what capacity?

What do you consider to be the applicant's outstanding talents?

In which areas could the applicant exhibit growth or improvement?

If you have a comparable doctoral program, including liberal arts programs, in what decile would this student rank in your school or department? (e.g., Top 10%, Top 40%) Please name the department.

If the student's native language is not English, how would you rate the student's fluency?

Would the student be capable of teaching an MBA course in clear and fluent English?

Please comment on your impression of the applicant's capacity for graduate work and his or her potential for a successful career in research. Please use additional sheets as necessary.

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	Written communication skills	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Initiative	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Please indicate the reference group used to determine these ratings.	Intelligence	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Interpersonal relations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Leadership	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Maturity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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 - Recommend
 - Recommend with some reservations
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Name _____ Position/Title _____

Organization _____

Address _____

Signature of Evaluator _____ Date _____

Telephone Number _____

E-mail Address _____

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Name of Applicant Last First Middle

Current Address

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TO THE APPLICANT

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EVALUATION FORM (CONT'D.)

How long have you known the applicant?

In what capacity?

What do you consider to be the applicant's outstanding talents?

In which areas could the applicant exhibit growth or improvement?

If you have a comparable doctoral program, including liberal arts programs, in what decile would this student rank in your school or department? (e.g., Top 10%, Top 40%) Please name the department.

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Please comment on your impression of the applicant's capacity for graduate work and his or her potential for a successful career in research. Please use additional sheets as necessary.

		EXCEPTIONAL Top 2%	OUTSTANDING Top 10%	GOOD Top 1/3	AVERAGE Middle 1/3	POOR Bottom 1/3	UNABLE TO JUDGE
Please use this scale to rate the applicant in relation to his or her peers.	Analytical ability	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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Please indicate the reference group used to determine these ratings.	Initiative	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Intelligence	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Interpersonal relations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Leadership	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Maturity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Organizational ability	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- I, the evaluator:
- Strongly recommend
 - Recommend
 - Recommend with some reservations
 - Do not recommend that this applicant be admitted to the Stern School of Business.

Name Position/Title

Organization

Address

Telephone Number

E-mail Address

Signature of Evaluator Date

Information must be neatly written in ink or typed.

Mr. Ms. _____
 1. Name Last First Middle

2. Social Security Number (US citizens and current residents) 3. Birth Date Month/Day/Year

4. Any Names Used Previously

5. Present Street Address

City State Postal Code Country

This address is good until what date? Month/Day/Year

6. Permanent Street Address

City State Postal Code Country

This address is good until what date? Month/Day/Year

7. Home Telephone (Present) Business Telephone

Home Telephone (Permanent) May we contact you at your business number?

E-Mail Address Fax Number

8. Application for Fall 200__

9. Intended Area of Study

- Accounting
- Economics
- Finance
- Information Systems
- Marketing
- Operations Management
- Organizational Behavior/Organization Theory
- Statistics
- Strategy

10. Second choice of major, if applicable _____

11. Are you interested in studying international business?

- Yes No

12. Have you previously applied to Stern's PhD program?

- Yes If yes, year _____ No
 Were you admitted? Yes No

13. Date GMAT or GRE was taken _____
 Date GMAT or GRE will be taken _____

14. International Applicants (all non-US citizens)

Country of Birth _____
 Country of Citizenship _____
 Visa status, if currently in the US F-1 J-1
 Permanent Resident Other
 Date TOEFL was taken _____
 Date TOEFL will be taken _____

15. The data requested in the following questions is used to provide a more complete picture of our applicant pool and, eventually, our student body. Completion of all or part of this section is voluntary.

Marital status Married Unmarried

Ethnic Background (check one)

US Citizens and Permanent Residents

Non-US Citizens

- Black Non-Hispanic
- Asian or Pacific Islander
- Alaskan Native or Native American
- Hispanic
- White Non-Hispanic
- Other _____

- Black Non-Hispanic
- Asian or Pacific Islander
- Hispanic
- White Non-Hispanic
- Other _____

16. All Applicants

Native Language _____ Country of Birth _____

17. Do you need financial aid? Yes No
 If no, please indicate source(s) of support (e.g., government scholarship, private scholarship or fellowship, family funds).

18. Undergraduate School from Which Degree Was or Will be Received

Name of School _____ Location (City, State, Country) _____

Dates of Attendance (Month/Year to Month/Year) _____ Academic Major _____

BA BS Other _____

_____ Date Degree Received or Date Expected _____

19. Other Undergraduate School* (if any)

Name of School _____ Dates of Attendance _____ Percent of Undergraduate Work Completed/To Be Completed Here _____

20. Graduate School Attended (if any)

If course work toward graduate degree was partially completed but is no longer being pursued, check here.

Name of School _____ Location (City, State, Country) _____

Dates of Attendance (Month/Year to Month/Year) _____ Academic Major _____

MBA MA MS PhD Other _____

_____ Date Degree Received or Date Expected _____

21. Other Graduate School Attended* (if any)

If course work toward graduate degree was partially completed but is no longer being pursued, check here.

Name of School _____ Location (City, State, Country) _____

Dates of Attendance (Month/Year to Month/Year) _____ Academic Major _____

MBA MA MS PhD Other _____

_____ Date Degree Received or Date Expected _____

***Please list additional undergraduate/graduate work completed but not included above on a separate sheet of paper and staple to this form. For each school, include the name and location, dates of attendance, major, degree program and the date the degree was received or is expected.**

22. Prerequisite Course Requirements

The following courses on my transcript(s) satisfy the integral and differential calculus, matrix/linear algebra, macro- and microeconomics, psychology/sociology and financial accounting prerequisites:

School	Course	Year Taken	Grade
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

7. List college extracurricular, community or professional activities in the order of their importance to you.

Activity	Dates of Participation (from/to)	Office Held (if any)

8. Have you ever been placed on academic probation, suspended or required to withdraw from any college or university?

Yes No If yes, please explain fully on a separate sheet.

9. Please list those who are submitting evaluations supporting your application.

10. Please list any other graduate schools to which you are applying. (Write "none" if appropriate.)

1. _____	5. _____
2. _____	6. _____
3. _____	7. _____
4. _____	8. _____

Completion of items 11 through 14 is optional.

11. Father's Name	Occupation
Organization	Title
Still living? <input type="checkbox"/> Yes <input type="checkbox"/> No	

12. Mother's Name	Occupation
Organization	Title
Still living? <input type="checkbox"/> Yes <input type="checkbox"/> No	

13. Please give the names of members of your family who have attended or who are now attending the Stern School of Business.

Full Name	Relationship to You	Year of Graduation or Dates of Attendance

14. Person to notify in case of emergency

Name	Relationship		
Address			
City	State	Postal Code	Country
Telephone	E-Mail Address		

Your essays must be typed with double spacing on separate sheets of paper. Each essay should be limited to 300 words. The letter of the essay topic and your name and Social Security number (if you have one) should appear at the top of each sheet of paper. Staple the essays together and return them with the other application materials. Essays A and B are required.

ESSAY TOPICS

- A. Discuss your professional objectives, both short-range and long-range, and explain how your past experiences have contributed to defining those objectives. What aspects of your background and experience have led you to choose a research career?
- B. Discuss two achievements of which you are proud and the reasons for your satisfaction with them.
- C. (Optional) Provide additional information you feel is appropriate and relevant. Topics could include comments about previous research projects or academic efforts, any special interests or other accomplishments you would like to bring to the PhD Admissions Committee's attention or comments on any physical, emotional or family difficulties that should be known to ensure a fair evaluation of your application.

Please attach a copy of your résumé to this form.

PLEASE
ATTACH HERE
A PHOTO OF
YOUR FULL FACE
(PREFERRED)

Doctoral Program
Stern School of Business
New York University
44 West Fourth Street, Suite 6-140
New York, NY 10012-1118

Phone: (212) 998-0740
E-mail: phd@stern.nyu.edu
Web: www.stern.nyu.edu/phd/

