New Challenges in Regulating Financial Markets

Featuring Keynote Speaker
Professor Harvey J. Goldschmid
Dwight Professor of Law, Columbia University
Former Commissioner, United States Securities and Exchange Commission
Citigroup Distinguished Fellow in Ethics and Leadership

Friday, March 24, 2006
New York University
Leonard N. Stern School of Business
Henry Kaufman Management Center
44 West Fourth Street
New York, New York 10012
Room 1-70

Sponsored by the Markets, Ethics and Law Program,
NYU Stern School of Business,
with support from the Citigroup Foundation
New Challenges in Regulating Financial Markets

Agenda

9:30 – 10:00am  Registration and Coffee  
(Lobby, Henry Kaufman Management Center KMC)

10:00 – 10:05am  Welcome Remarks  (KMC 1-70)

10:05 – 10:30am  Keynote Presentation: “New Challenges After Three Years of Securities Law Reform”

Harvey J. Goldschmid  
Dwight Professor of Law, Columbia University  
Former Commissioner, United States Securities and Exchange Commission  
Citigroup Distinguished Fellow in Ethics and Leadership

10:45 – 12:15pm  Panel Discussion: “The Role of Exchanges”  (KMC 1-70)

Moderator:  Ingo Walter  
Seymour Milstein Professor of Finance, Corporate Governance and Ethics, NYU Stern School of Business

Panelists:  Roel Campos  
Commissioner, United States Securities and Exchange Commission

Richard Ketchum  
Chief Regulatory Officer, New York Stock Exchange

Marc Lackritz  
President, Securities Industry Association

12:15 – 1:45pm  Luncheon and Panel Discussion: “Challenges Ahead”  (Douglas B. and Joseph H. Gardner Commons)

Moderator:  Martin Lipton  
Founding Partner, Wachtell, Lipton, Rosen & Katz  
Chairman, the Board of Trustees, New York University

Panelists:  Harvey J. Goldschmid  
Dwight Professor of Law, Columbia University  
Former Commissioner, United States Securities and Exchange Commission  
Citigroup Distinguished Fellow in Ethics and Leadership

John H. Biggs  
Former Chairman, President and CEO, Teachers Insurance and Annuity Association, College Retirement Equities Fund,  
Former Citigroup Distinguished Fellow in Ethics and Leadership
1:45 – 2:00pm  
Special Remarks: “New Challenges in India”

M. Damodaran  
Chairman, Securities and Exchange Board of India

1:45 – 3:15pm  
Panel Discussion: “The Role of Research Analysts” (KMC 1-70)

Moderator:  
Roy Smith  
Kenneth Langone Professor of Entrepreneurship and Finance  
NYU Stern School of Business

Panelists:  
William Kennedy  
Global Director, Citigroup Investment Research

Helen S. Scott  
Professor of Law, New York University School of Law

Annette Nazareth  
Commissioner, United States Securities and Exchange Commission